

Conflict-of-Interest Statement For NOAA Peer Review Panel Members

PLEASE REVIEW EXAMPLES OF POSSIBLE CONFLICTS PERIODICALLY DURING YOUR TENURE AS A
PEER REVIEW PANEL MEMBER

1. YOUR AFFILIATIONS WITH AN APPLICANT INSTITUTION

You may have a conflict if you have \ hold:

- Current employment at the institution as professor, adjunct professor, visiting professor, or similar position. (This includes other campuses of a multi campus institution, but a waiver may be available. If you are in a multi campus institution, let your program officer know.)
- Other current employment with the institution such as consulting or an advisory arrangement; or
- Being considered for employment at the institution.
- Formal or informal reemployment arrangement with the institution.
- Ownership of the institution's securities or other evidences of debt.
- Current membership on a visiting committee or similar body at the institution. (This is a conflict only for proposals or applications that originate from the department, school, or facility that the visiting committee or similar body advises.)
- Any office, governing board membership, or relevant committee chairperson in the institution. (Ordinary membership in a professional society or association is not considered an office.)
- Current enrollment as a student. (Only a conflict for proposals or applications that originate from the department or school in which one is a student.)
- Received and retained an honorarium or award from the institution within the last 12 months.

2. YOUR RELATIONSHIPS WITH AN INVESTIGATOR, PROJECT DIRECTOR, OR OTHER PERSON WHO HAS A PERSONAL INTEREST IN THE PROPOSAL OR OTHER APPLICATION

- Known family or marriage relationship. (Conflict only if the relationship is with a principal investigator or project director.)
- Business or professional partnership.
- Employment at same institution within the last 12 months.
- Past or present association as thesis advisory or thesis student.
- Your collaboration on a project or on a book, article, report, or paper within the last 48 months.

3. YOUR OTHER AFFILIATIONS OR RELATIONSHIPS

- Interests of the following persons are to be treated as if they were yours: Any affiliation or relationship of your spouse, of your minor child, of a relative living in your immediate household or of anyone who is legally your partner that you are aware of, that would be covered by items 1 or 2 above (except for receipt by you spouse or relative of an honorarium or award).
- Other relationship, such as close personal friendship, that you might tend to affect your judgements or be seen as doing so by a reasonable person familiar with the relationship.

CONFIDENTIALITY OF PEER REVIEWS AND REVIEWER IDENTITIES

NOAA policy is that reviews and reviewer identities will not be disclosed to persons outside the Government, except that verbatim copies of reviews (without the name and affiliation of the reviewer) will be sent to the principal investigator. NOAA considers reviews and reviewer identities to be exempt from disclosure, but cannot guarantee that it will not be forced to release them under FOIA, Privacy Act, or other laws.

**National Oceanic and Atmospheric Administration
Silver Spring, MD 20910**

CONFLICT-OF-INTEREST STATEMENT FOR NOAA PEER REVIEW PANEL MEMBERS

1. Your designation as NOAA Peer Review Panel member requires that you be aware of potential conflict situations that may arise. Read the examples of potentially biasing affiliations or relationships listed on the back of this form. As a NOAA panel member, you will be asked to review applicant grant proposals. You might have a conflict with one or more. Should any conflict arise during your review, you must bring the matter to the attention of the NOAA program officer who asked you to serve as a panel member. That official will determine how the matter should be handled and will tell you what further steps, if any, to take.
2. If your designation gives you access to information not generally available to the public, you must not use that information for your personal benefit or make it available for the personal benefit of any other individual or organization. This is to be distinguished from the entirely appropriate general benefit of learning more about NOAA, learning from other advisory panel members, or becoming better acquainted with the state of a given discipline.
3. NOAA receives proposals in confidence and protects the confidentiality of their contents. For this reason, you must not copy, quote or otherwise disclose or use material from any proposal you review. If you believe that a colleague can make a substantial contribution to the review, please consult the NOAA program officer before disclosing either the contents of the proposal or the applicant's name.

CERTIFICATION

I have read the list of affiliations and relationships (on the back of this form) which could prevent my participation in matters involving such individuals or institutions. To the best of my knowledge, I have no affiliation or relationships that would prevent me from performing my review panel duties. I understand that I must contact the NOAA program office if a conflict exists or arises during my term of service. I also will not divulge any confidential information I may become aware of during my term. I further understand that I must sign and return this Conflicts Statement to the program officer before I may serve.

Member's Name (Please Print): _____

Member's Signature: _____ Date: _____

Name of Review Panel: _____